

### U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

| REQUEST FOR CORRECTED | FILING |
|-----------------------|--------|
| RECEIPT               |        |

Docket Number: 12576/3

Application Number 10/692,219

Filing Date
October 22, 2003

Examiner
To be Assigned

Art Unit 2562

Invention Title

METHOD AND SYSTEM OF CHARITABLE FUNDRAISING AND SOCIALLY RESPONSIBLE INVESTMENT INVOLVING LIFE INSURANCE PRODUCTS Inventor(s)

Jeffrey LANGE

Address to:

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

Attn: OIPE

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: Feb. 10, 2004

Reg. No. 47,611

Signature:

Dalia S. Grimberg

Sir:

Applicant respectfully requests that the filing receipt for the above-identified application be corrected to indicate the correct filing date of the application.

The above-identified application was filed in the United States Patent and Trademark Office ("USPTO") by "Express Mail Post Office to Addressee" service on October 22, 2003. The postcard bearing the USPTO acknowledgment stamp with the date of October 23, 2003 as well as the official Filing Receipt bearing the filing date of October 23, 2003 were received by the undersigned, copies of which are enclosed. A United States Postal Service Express Mail Post Office to Addressee label (Label No. EV170350805US) was initialed and dated by the United States Postal Service on October 22, 2003, and the label was date stamped by the United States Postal Service on October 22, 2003. A copy of the Express Mail Post Office to Addressee label is enclosed herewith. In addition, a copy of the signed Utility Patent Application Transmittal Letter Under 37 C.F.R. 1.53(b), dated October 22, 2003, is also enclosed herewith. The label number (Label No. EV170350805US) of the Express Mail Post Office to Addressee label is indicated on the Transmittal Letter and the postcard.

A copy of the filing receipt with the correction noted thereon is enclosed. Accordingly, the filing date on the filing receipt should be corrected to October 22, 2003.

Please issue a corrected filing receipt as requested above and correct all Patent Office records.

If any fees are due they should be charged to Kenyon & Kenyon Deposit Account No. 11-0600.

Dated: Feb. 10, 2004

Dalia S. Grimberg (Reg. No. 47,611)

**KENYON & KENYON** 

One Broadway

New York, N.Y. 10004

(212) 425-7200 (telephone)

(212) 425-5288 (facsimile)

| The stal of the Patent Office here acknowledging the receipt, on the PATENT APPLICATION of Caracteristics. | e date stamped, of  |
|--|---|
| Specifications   | Assignment  Recording fee \$  Authorization to charge Dep. Acceleration  Priority Document  Preliminary Amendmens 4 U.S. PTO  Small, Entity 10/692219 |





## Kenyon & Kenyon

One Broadway

New York, N.Y. 10004

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#### United States Patent and Trademark Office

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APPL NO.

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26646 **KENYON & KENYON** ONE BROADWAY NEW YORK, NY 10004



**CONFIRMATION NO. 8220** 

FILING RECEIPT



OC000000011759274\*

Date Mailed: 01/26/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jeffrey Lange, New York, NY;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/389,335 03/14/2003

Foreign Applications

If Required, Foreign Filing License Granted: 01/23/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Method and system of charitable fundraising and socially responsible investment involving life insurance products

**Preliminary Class** 

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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#### UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R. 1.53(b)

ATTORNEY DOCKET NO.: **12576/3** 

Address to Commissioner for Patents Mail Stop Patent Application Alexandria, VA 22131-1450

Transmitted herewith for filing is the patent application of

Inventor(s): **Jeffrey LANGE** 

For: METHOD AND SYSTEM OF CHARITABLE FUNDRAISING AND

SOCIALLY RESPONSIBLE INVESTMENT INVOLVING LIFE

**INSURANCE PRODUCTS** 

#### Enclosed are:

- 1. 59 sheets of specification, 24 sheets of claims, and 1 sheet of abstract.
- 2. 5 sheets of drawings.
- 3. Declaration (unexecuted).
- 4. Applicant hereby claims Small Entity Status. See 37 C.F.R. §1.27.
- 5. Incorporation by Reference. The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. Continuing application information:

This application is a continuation-in-part application of prior U.S. Application Serial No. 10/389,335, filed March 14, 2003.

7. The filing fee has been calculated as shown below:

Express Mail No: EV 170 350 805 US

|  | NUMBER      | FILED  | NUMBER<br>EXTRA* | RATE (\$)           | FEE (\$) |
|--|-------------|--------|------------------|---------------------|----------|
| BASIC FEE  |             |        |                  |                     | 770.00   |
| TOTAL CLAIMS   | 152         | - 20 = | 132              | 18.00               | 2,376.0  |
| INDEPENDENT CLAIMS   | 26          | - 3 =  | 23               | 86.00               | 1,978.0  |
| MULTIPLE DEPENDENT CLAIM PRESENT   |             |        |                  | 280.00              |          |
| Number extra must be zero or larger  |             |        |                  | TOTAL               | 5,124.0  |
| If the applicant is a small entity under 37 C.F.R. §§ 1 and 1.27, then divide total fee by 2, and enter amount | .9<br>here. |        | SMA              | ALL ENTITY<br>TOTAL | 2,562.00 |

- Please charge the required application filing fee of \$2,562.00 to the deposit account of Kenyon & Kenyon, deposit account number 11-0600.
- 9. The Commissioner is hereby authorized to charge payment of the following fees, associated with this communication or arising during the pendency of this application, or to credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number 11-0600:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional document supply fees under 37 C.F.R. § 1.19;
  - D. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - E. Any additional miscellaneous fees under 37 C.F.R. § 1.21.

10. A duplicate copy of this sheet is enclosed.

Dated: October 22, 2003

By:

Dalia S. Grimberg (Reg. No. 47,611)

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